

Est. 2003 (Version 6.0)

Intl. / Swiss Fund & Asset Management Business Trainings

Legal / Compliance / Risk / Operations & Business Management Excellence
for Fund & Asset Management Industry Professionals



Legal / Compliance / Risk / Operations & Business Management Excellence for Fund & Asset Management Industry Professionals

Level Z

Finance for Funds
(instruments de placement)

Intro
Level ZERO

Basics

2 days, fr.

Co-certified by:



Level 1

Know the
*FUND*amentals

Basic
Level I

Basics

2 days, dt, fr.

**L1 + L2 combined in Geneva
(4 days)**

Level 2

FCOM / Fund
Compliance &
Operations
Management

Intermediate
Level II

Prep SFAMO
Level III

3 days, dt, fr.

Level 3

SFAMO
Swiss Fund & Asset
Management Officer

Advanced / Professional
Level III

Legal / Compliance /
Risk / Operations / Product &
Business Management

10 days ZH (dt.) / GE (fr.)

Co-certified by:



Level 3a

SFAMO-Update
Level

SFAMO-Alumni

2 days, dt, fr.
Zurich / Geneva

Level 3b

Dual Degree
Swiss/FL (dt.)

SFAMO
candidates / open

2 days, dt.
Zurich / Vaduz

Level 4

IFAMO
Intern. Fund & Asset
Management Officer

Professional / Executive
Management Level IV

Strategic Business
Management

Luxembourg, Dublin
Brussels, Paris, London

4 x 2 days city tours; engl.

In partnership with:

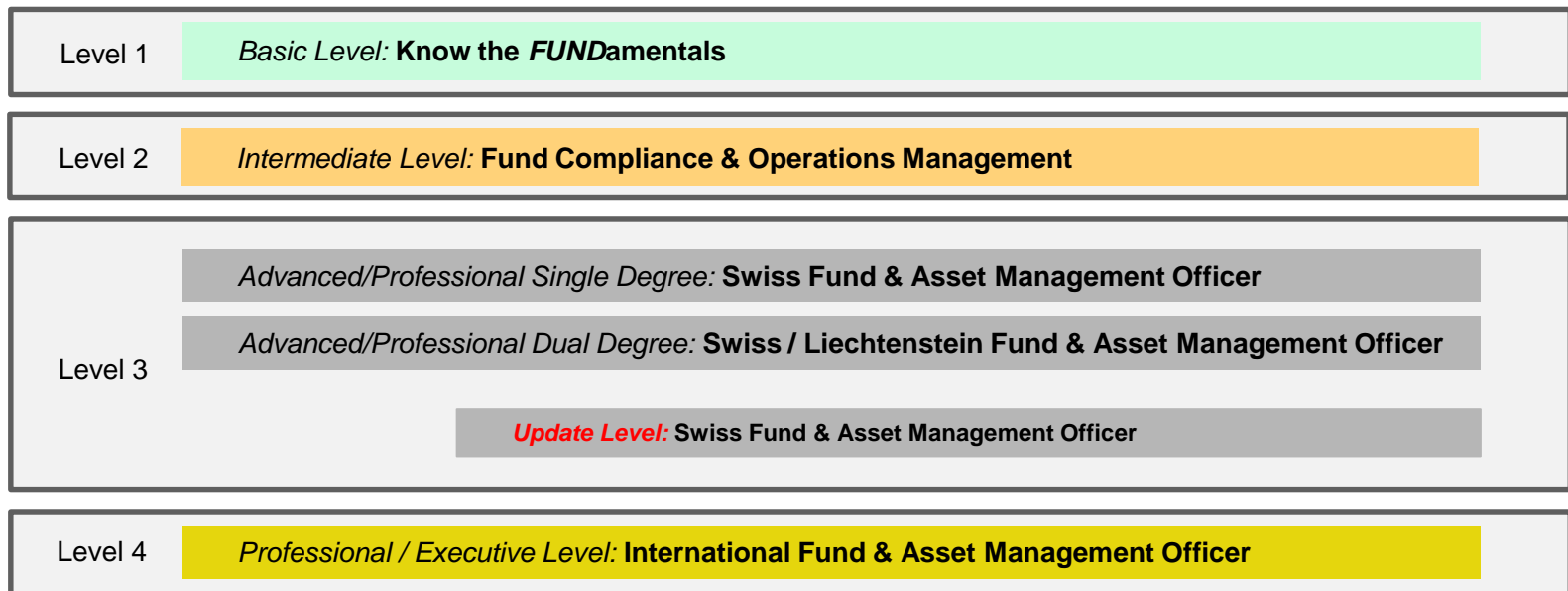


Compliance / Zurich: GwG-, Produkte/Vertriebs- und Tax-Compliance

Compliance / Genève: Atelier Pratique - Compliance Services et Produits Financiers

Intl. / Swiss Fund & Asset Management Officer Training Program

The **International / Swiss Fund & Asset Management Officer Training Program** is a 4-plus-level program for Fund & Asset Management Industry Professionals. With the great number of participating leading business experts the course program provides intense exchange of knowledge and experience and brings together investment fund & asset management professionals and industry practitioners on four plus different levels:



With a view to enhancing and deepening their understanding of the investment fund & asset management industry the “Fund & Asset Management Officer Program” is committed to developing industry skills and educational standards for the fund & asset management business specialists in Switzerland. On an operational and strategic management level business professionals learn, debate, discuss, analyze, reflect and deepen their business and administrative management skills – based on 11 learning subjects and over 50 learning modules (see exam guidelines on our website).

Supervisory & Quality Board and Group of Experts – *Zurich* 2018

Chairman / Supervisory & Quality Board / Zurich 2017/18:

André Valente, Managing Director und CEO, UBS Fund Management AG, Basel

Chairman / Appeals Committee Zurich:

Dr. Armin Kühne, Partner, Kellerhals Carrard, Zurich

Members / Supervisory & Quality Board / Zurich 2016:

Martin Büchel, Compliance Officer, Fisch Asset Management, Zurich

Benjamin De Zordi, Partner, PwC, Zürich

Hans Frey, CEO, Swisscanto Fondsleitung AG, Zurich

Dr. Felix Horlacher, Leiter IfFP, Vertreter IAF, Zurich

Martin Jufer, Member of the Group Management Board, Head Contin. Europe, GAM IM Ltd., Zurich

Astrid Keller, Partner, KPMG AG, Zurich

Dr. Armin Kühne, Partner, Kellerhals Carrard, Zurich

Dr. Rainer Landert, Gründer/Leiter Fund Academy AG, Zurich

Dr. Marcel Lötscher, Mitglied der Geschäftsleitung, FMA – Finanzmarktaufsicht Liechtenstein, Vaduz

Thomas Schärer, Managing Director und CEO, Credit Suisse Funds AG, Zurich

Anita Schläpfer, Partnerin, Schellenberg Wittmer Rechtsanwälte, Zurich

Martin Thommen, Lombard Odier Asset Management (Switzerland) SA, Zürich

André Valente, Managing Director und CEO, UBS Fund Management AG, Basel

Thomas Wiggli, Fund Academy AG, Zurich

Raoul Würigler, Dep. Head of Association of Foreign Banks Switzerland, Zurich

Supervisory & Quality Board and Group of Experts – *Geneva* 2018

Chairman / Supervisory & Quality Board / Geneva 2017/18:

Barbara Ofner, Partner, EY, Genève

Chairman / Appeals Committee Geneva:

Yvan Mermod, Partner, KPMG AG, Genève

Lionel Aeschlimann, Membre Comité Exécutif, Associé-Gérant, Mirabaud & Cie Banquiers, Genève

Philippe Bens, Directeur général, CACEIS Bank Switzerland Branch, Nyon

Rainer Landert, Director / founder, Fund Academy AG, Zürich

Jean-Sébastien Lassonde, Partner, PWC, Genève

David Martin, Managing Director, Head of Business Risk & Public Policy, Pictet Asset Management, Genève

Yvan Mermod, Partner, KPMG AG, Genève

Barbara Ofner, Partner, EY, Genève

François Rayroux, Partner, Lenz & Staehelin, Genève

Raoul Würigler, Dep. Head of Association of Foreign Banks Switzerland ABES, Zurich

Correction-Team – *Zurich* 2018

Sandra Weisstanner-Greutmann, Fund Academy, Zürich (Koordination)

Petra Fischlin, Compliance & Risk Management, IPConcept, Zürich

Christian Keusen, Legal & Compliance, bank zweiplus ag, Zürich

Marion Koch, Compliance and Legal, B&I Capital AG, Zürich

Philip Skiba, Risk Management, RBC Investor & Treasury Services, Zürich

Patrick Stauffer, Business Development, Pictet Asset Services , Zürich

Correction-Team – *Genève* 2018

Christina Riva, Fund Academy, Genève (coordination)

Selda Karaca, Chief Risk Officer, CACEIS (Switzerland) SA, Genève

Zoé Kneuss, Retraites Populaires | Division investissements, Lausanne

Thomas Stanat, Compliance Officer, Patrimonium Asset Management AG, Echandens

Fund & Asset Management Officer Program 2018 Faculty Group of Industry Participants (lecturers)

Fund Academy's more than 140 faculty members are recognized business representatives, leading fund business experts of the national and international investment management industry, Supervisory Authorities, Fund Associations, Business Schools:

among others: *Ahead Wealth Solutions, Association of Foreign Banks Switzerland, BCV, Baloise Fund Invest, Bank Julius Baer, Blum&Grob Rechtsanwälte, BNP Paribas, CACEIS, Credit Suisse, Deloitte, EFAMA, EY, FBT, FINMA (CH), FMA (FL), GAM, Gérifonds, HSWLegal, IAF, JMLP, JP Morgan, Kellerhals Carrard, KGAST, KPMG, LAFV, Lenz & Staehelin, Liechtensteinische Landesbank, LGT, Lombard Odier, Mirabaud, Picard Angst, Pictet Funds, PwC, RBC, Schellenberg Wittmer, SFAMA, SIX Swiss Exchange, State Street, Swisscanto, SynoFin Risikomanagement, SYZ Asset Management, Tax Partners, UBP, UBS, Unigestion, Walder Wyss, Zürcher Kantonalbank.* These experts with years of experience in all kind of disciplines within the value chain are a promise for comprehensive seminars and trainings with a highest degree of expertise, professionalism and international network.

Business Networking & Alumni

The forum "Friends-of-Funds" is the business network platform of the Swiss Fund & Asset Management Industry as well as the **Fund Academy / Intl. Fund & Asset Management Business School Alumni Club** – established 2003 (more than 250 fund business events since in Zurich and Geneva). In this evening programs participants share in their experiences and enhance their personal business network.



Language / trainings:

Language / exams:

E

Level 4: IFAMO International Fund & Asset Management Officer
Legal, Compliance, Risk Management, Governance, Strategic Management

D F E

D

Level 3 Dual Degree Swiss / Liechtenstein Fund & Asset Management
Legal, Compliance, Risk Management, Governance, Operations
Fund Academy, Zurich

D

D F

Level 3 Update Level: SFAMO Alumni
Legal, Compliance, Risk Management, Governance, Operations
Fund Academy, Zurich / Geneva

D F

D F

Level 3: SFAMO Swiss Fund & Asset Management Officer
Legal, Compliance, Risk Management, Governance, Operations
Fund Academy, Zurich / Geneva

D F

D F

Level 2: Fund Compliance & Operations Management
Legal, Compliance, Operations
Prep training SWISS FUND & AM OFFICER; Zurich / Geneva

D F

D F

Level 1: Know the FUNDamentals
Fund Academy, Zurich / Geneva

D F

D F

Finanzdienstleistungs-Compliance (modular)
Fund Academy, Zurich und Genf

D F

www.fund-academy.com

Fund & Asset Management Officer Program 2018

The International Fund & Asset Management Business School (Fund Academy AG) is a broadly established and accredited Swiss Training Institute for investment fund and asset management business professionals. Fund Academy covers, educates and instructs more than 50 different learning modules in the context of the investment fund and asset management business (natl. / intl.) and the area of other collective and structured asset classes with two business lines:

Fund Academy College

College Platform for B2B Trainings and Exchange of Knowledge (4-Plus-Level-Program)

Fund Academy Business

B4B, tailor-made inhouse trainings and education, train the trainer, training support, assessment modules

Clients, Attendees: Participants are business and custody banks, fund management companies, asset managers, fund reps, insurance companies, fund administrators, fund distribution channels, financial intermediaries, auditors, law firms, among others.

In its more than **17 years history and experience** Fund Academy trained in the very specific area of the Swiss investment funds and asset management industry more than

180-220 business professionals a year on average (Zurich and Geneva).

[Fund Academy Ltd, est. March 2001]

International Fund & Asset Management Business School
Zurich, Genève, Luxembourg

Fund-Academy Ltd.

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Coordination / Zurich: Sandra Weisstanner-Greutmann (sandra.weisstanner@fund-academy.com)
Coordination / Geneva: Christina Riva (Christina.riva@fund-academy.com)**

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